

Financial Adviser Profile

Overview

Brendan Thompson is a Partner at Nascent Wealth Partners with over 20 years' experience in the banking and financial services industry. In these years he has worked in areas such as Banking and lending, Foreign Exchange, Trust Administration and Estate Management.

Brendan is a member of the Financial Planners Association (FPA) and Association of Financial Advisers (AFA).

Brendan has won multiple awards since starting his career as a financial adviser in 2006, these include the Young Planner Award and Compliance and Professional Standards Award.

He believes it is crucial to really listen to and understand the needs of each individual client to build long-term relationships and work with them over time to achieve their goals through sound, comprehensive strategies. He is passionate about helping his clients reach their financial goals, through straight to the point, no jargon, easy to understand advice.

Brendan is a fee for service adviser and aims to provide high quality financial and investment advice focussing on strategic recommendations and quality ongoing client service.

Brendan Thompson is a Sub-Authorised Representative of Mecury Pty Ltd T.A. Nascent Wealth Partners Pty Ltd, Corporate Authorised Representative No. 1285903 Authorised Representative No. 310583

Qualifications

Brendan Thompson meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Brendan Thompson is a member of Financial Planners Association (FPA) and Association of Financial Advisers (AFA) and abides by their code of professional conduct and ethics.

Brendan Thompson

Nascent Wealth Partners

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Authorisations

Brendan Thompson is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts (“RSA”) products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds;
- Securities; and
- Standard Margin Lending Facility.

Nascent Wealth Partners Advice Fees and Charges

Brendan Thompson will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Brendan’s hourly rate for Financial Services is \$330.00 incl. GST and you will be notified of the time involved prior to the commencement of any work if applicable.

Brendan’s fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Brendan provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Nascent Wealth Partners pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Brendan Thompson is a Director of Nascent Wealth Partners and will receive a benefit from this company.

Other Benefits Brendan May Receive

From time to time Brendan Thompson may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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